1 Surface damage of W exposed to combined stationary D plasma

2 and ELMs-like pulsed plasma

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13 Abstract

14 The surface damage of W under D plasma and ELMs-like transient heat loads 15 was studied by combined stationary and pulsed D plasma. Low-flux transient 16 heat loads will promote blister formation due to the gas expansion inside the 17 blisters. On the contrary, high-flux transient heat loads will mitigate blistering 18 due to the high surface temperature. Therefore, blistering on W surface first 19 increased and then decreased with the increasing transient heat loads. The 20 promotion effect of pulsed plasma on blistering is more obvious on [001] and 21 [110] surfaces than on [111] surface, and the orientation dependence of blisters 22 was mitigated by the transient heat loads. Surface modification induced by 23 transient heat loads only formed on [001] and [110] surfaces, but did not form 24 on [111] surface. The orientation dependence of surface modification was 25 mainly due to the slipping system of dislocations.

26 **1. Introduction**

Due to its favorable physical properties, such as low sputtering yield, high melting temperature and high thermal conductivity, tungsten (W) will be used as a plasma facing material (PFM) in the ITER divertor ^[1, 2]. As a PFM, W will be subjected to the transient heat loads of Edge Localized Modes (ELMs), the number of which will be higher than 10^6 events during the lifetime of divertor ^[3]. 1 Previous studies have shown that the transient heat loads of ELMs will induce 2 surface damage, such as surface modifications, cracking and melting of W surfaces ^[4-6]. Besides the transient heat loads, W will simultaneously be 3 4 subjected to high fluxes and fluences of stationary plasma ions (D, T, He), of 5 which D and T are the main plasma species. D plasma will also induce some types of surface damage, for example, strong blistering ^[7-9] and some other 6 surface morphology ^[10-12] on W surface. The occurrence of these ELMs-induced 7 8 and D-induced surface damage is significant threat to the lifetime of divertor 9 and its compatibility with high plasma performances.

10 Therefore, it is important to study the surface damage behavior of W material under combined D plasma and ELMs-like transient heat loads condition. Many 11 studies have been carried out in this field in recent years^[13-21], but experimental 12 conditions were different in each study, and were also not same as the working 13 14 condition of divertor in ITER. For instance, in some studies, W samples were sequentially (not simultaneously) exposed to D plasma and transient heat loads 15 ^[13, 14, 19-21]; in some other studies, ELMs-like heat loads were simulated by laser 16 beam ^[13-18] or electron beam ^[19, 20], but ELMs were transient plasma events in 17 ITER. Therefore, the surface damage of W under combined steady state D 18 19 plasma and ELMs-like transient heat loads still needs more research.

In this study, W samples were exposed to combined stationary D plasma and
ELMs-like pulsed plasma simultaneously, and the surface damage caused by the
exposure was studied in detail. The conditions of stationary D plasma and
pulsed plasma are similar to the stationary plasma and ELMs heat loads in ITER.
Therefore, the results of present study will shed new light on the surface damage
of W under working conditions of PFMs.

26 **2. Experimental**

27 Polycrystalline W samples with purity of 99.95 wt% were cut from a rolled 28 sheet, which was supplied by Advanced Technology & Materials CO., Ltd. 29 (China). The main impurities are Mo, Fe, O with concentrations below 15 wppm, 30 and C with a concentration around 50 wppm. All the samples were stress 31 relieved at 1273 K at a background pressure of 5×10^{-4} Pa for 1 hour after

1 polishing and before implantation. All samples were exposed to combined 2 steady-state and pulsed D plasma in Pilot-PSI linear plasma generator using the 3 pulsed plasma system described in [18, 21-23]. The time evolution of the surface 4 temperature during the exposure was monitored by a fast infrared camera (FLIR 5 SC7500MB) with a frame rate around 3 kHz. The evolution of the peak 6 temperature during a shot is shown in Fig.1 (a). The base temperature (BT) was 7 caused by the steady heat flux of the stationary plasma, and the temperature rise 8 (ΔT) was caused by the plasma pulses. During a shot, the steady-state plasma 9 duration was 10 s, and the plasma pulses were triggered for 5 s with a frequency 10 Hz (50 plasma pulses). The details of the plasma pulses can be found in 10 11 reference [21]. For each sample, the same shot was repeated for 10 times, so the 12 accumulated number of plasma pulses was 500. Fig.1 (b) shows the distribution 13 of surface temperature and heat flux density during the exposure of stationary 14 plasma and pulsed plasma. The target heat fluxes were calculated using the THEODOR code^[24], a 2D inverse heat transfer code. On the same sample, in the 15 16 center area (radius ≤ 7 mm), the BT was almost the same, but ΔT varied a lot at different positions. The highest ΔT was about 700 K, and the corresponding 17 transient heat flux of the plasma pulse was about 300 MW/m^2 . In this study, for 18 19 different samples, the BT of surface was set at 650 K or 800 K, and ΔT varied 20 from 200 K to 700 K.

The conditions of the stationary plasma during the exposure were listed in Table.1. The flux of stationary D plasma was about 1×10^{24} m⁻²s⁻¹, and the ion energy was controlled by negatively biasing the sample and was fixed to ~38 eV. The plasma conditions were kept constant throughout this study. For each sample, the total fluence of D plasma during 10 shots was about 1×10^{26} m⁻².

The surface morphology changes of the samples were observed using a
TESCAN MIRA 3 LMH high-resolution scanning electron microscope (SEM).
The crystallographic grain orientation was analyzed by Oxford instrument
NordlysMax² electron backscatter diffraction technique (EBSD).

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1 **3. Results**

2 **3.1 Surface morphology at** BT = 650 K

Fig.2 shows the surface morphology of samples exposed to stationary/pulsed 3 plasma with BT = 650 K and different ΔT . After the exposure, two main types of 4 5 surface damage morphology formed on the surface: blisters induced by D plasma and surface modification caused by the transient heat loads of plasma 6 7 pulses. When ΔT was low, the blisters only formed on parts of the surface, but 8 when ΔT reached 500 K, the whole surface was covered with blisters. When $\Delta T >$ 9 500 K, the blister density decreased with the increasing ΔT , and when $\Delta T = 700$ 10 K, no blisters formed on the surface. For the surface modification, only when ΔT 11 \geq 600 K, the modification morphology can be observed on the exposure surface. 12 The D-induced blistering and heat-induced surface modification on W surface is closely dependent on the surface orientation^[7, 8, 25-27], so the surface morphology 13 of different surfaces exposed to stationary/pulsed plasma was analyzed 14 15 respectively. Fig.3 shows the surface morphology of [001] surface, [110] surface and [111] surface with different ΔT . In each image, the deviations of the 16 17 surface normal directions from [001], [110] or [111] direction are smaller than 5°. The blister coverage on [001], [110] and [111] surfaces with different ΔT 18 19 were analyzed as shown in Fig.4. The blister coverage was calculated by the 20 ratio of blistered area to the grain surface area. On all three kinds of surfaces, 21 the blisters first increased and then decreased with the increasing ΔT . When ΔT 22 = 320 K, the orientation dependence of blisters is still obvious – blistering is 23 most severe on [111] surfaces and slight on [001] and [110] surfaces, which is similar to the results reported in previous study without transient heat loads ^{[7, 8,} 24 ^{25, 26]}. The transient heat loads of plasma pulses increased the blisters density on 25 [001] and [110] surfaces dramatically, so when $\Delta T = 500$ K, the orientation 26 27 dependence of blisters was less obvious.

Fig.3 also shows that only when $\Delta T \ge 600$ K, surface modification were observed on [001] and [111] surfaces, as shown in Fig.3 (d) and Fig.3 (h), while no surface modifications were observed on [111] surface even with $\Delta T = 700$ K, as shown in Fig.3 (1).

1 When $\Delta T = 500$ K, after the exposure to stationary/pulsed plasma, many tiny 2 cracks were formed on [110] surface and aligned on the same direction, as 3 shown in Fig.3 (g). This kind of tiny cracks was also observed on other surfaces 4 with certain surface normal directions, as shown in Fig.5 (a) and (c). In addition, 5 the cracks in Fig.3 (g), Fig.5 (a) and Fig.5 (c) were all perpendicular to the [001] 6 directions of the grains. The cross-section morphology (Fig.5 (b) and (d)) across 7 the black lines in Fig.5 (a) and (c) were obtained by Focused Ion Beam (FIB) 8 and SEM. Many voids formed in the grain beneath the surface, so the effect of 9 grain boundaries on blister formation is not clear in this study. It is shown that 10 some voids were elongated in the direction perpendicular to the surface. Some 11 of the voids intersected with the surface, inducing tiny cracks on the surface, as 12 pointed by the red arrows. According to these results, the mechanism for the 13 tiny cracks was summarized by the schematic diagram in Fig.5 (e). The plasma 14 exposure will induce bubbles elongated parallel or perpendicular to the surface. 15 The bubbles parallel to the surface will induce plastic deformation and blisters on the surface ^[7-9]. Other bubbles grew perpendicularly to the surface and [001] 16 17 direction of the grain. When these bubbles intersect with the surface, tiny cracks 18 perpendicular to [001] directions will form on the surface. This kind of cracks 19 was rarely observed in the previous study of W exposed to stationary D plasma alone without transient heat loads ^[7, 8, 11]. These cracks may be formed due to the 20 21 heterogeneous stress field and D atoms distribution in the near surface region 22 under the transient heat loads condition.

23 **3.2 Surface morphology at** BT = 800 K

Fig.6 shows the surface morphology of samples exposed to stationary/pulsed D plasma with BT = 800 K and different ΔT . Compared to the surface morphology with BT = 650 K (Fig.2), the blistering was much more slight, but it also first increased and then decreased with the increasing ΔT . When $\Delta T \ge 400$ K, almost no blisters were observed on the surface. As for the surface modification, only at $\Delta T \ge 550$ K the transient heat loads induced obvious modification on the surface, as shown in Fig.6 (e) and Fig.6 (f).

Also, the surface morphology of [001], [110] and [111] surfaces with different

1 ΔT was analyzed in detail, as shown in Fig.7. The blister coverage on [001], 2 [110] and [111] surfaces with different ΔT were analyzed as shown in Fig.8. 3 When BT = 800 K, on [001], [110] and [111] surfaces, the blister coverage first 4 increased and then decreased with the increasing ΔT . Also, the orientation 5 dependence of blisters was mitigated by the transient heat loads, compared to 6 the results without transient heat loads ^[7].

Fig.7 also shows that when $\Delta T \ge 550$ K, pulsed plasma will induce obvious surface modification on [001] and [110] surfaces, and the surface modification was even more severe when $\Delta T = 700$ K, as shown in Fig.7 (d) and (h). On contrast, no surface modification was observed on [111] surface even with $\Delta T =$ 10 K, as shown in Fig.7 (l).

12 **4. Discussion**

13 **4.1 The effect of transient heat loads on blistering**

14 From the results described above, no matter at BT = 650 K or 800 K, the blister 15 coverage first increased and then decreased with the increasing ΔT . In our previous study, it was found that the transient heat loads of plasma pulses will 16 make the D_2 gas expand inside the blisters and induce the growth of blisters ^[21]. 17 Therefore, in this study when ΔT is low, the blister coverage will increase with 18 19 the increasing ΔT . However, when the transient heat load of plasma pulses or ΔT 20 is higher, the high surface temperature will increase D atoms' releasing rate 21 from the surface and diffusing rate into the matrix, which will reduce the D retention in the near surface region^[7, 28, 29], and result in the reduction of blisters 22 23 with the increasing ΔT .

24 Therefore, the transient heat loads of pulsed plasma may have different effects 25 on blistering induced by D plasma on two opposite aspects. On one hand, the 26 transient heat loads will cause the expansion of the gas inside the blisters, and 27 make the blistering more severe. On the other hand, the high temperature caused 28 by the transient heat loads will reduce the D retention in the near surface region, 29 and reduce the blisters on the surface. Therefore, with the increasing ΔT , the 30 blisters will first increase and then decrease, till they disappear on the surface 31 eventually.

1 Blisters induced by D plasma showed strong dependence on surface orientation of W materials at different temperatures in previous study^[7, 8, 25, 26]. Blisters tend 2 to form on [111] surfaces, and blisters are rarely observed on [001] and [110] 3 surfaces ^[7, 8, 25, 26]. It was found that the orientation dependence is due to the 4 differences of plastic deformation of different surfaces ^[8]. On [111] surfaces, the 5 6 bubbles beneath the surface can deform the surface layer easily, so more blisters 7 form on [111] surface, but on [001] and [110] surfaces, the bubbles can hardly 8 deform the surface layer, resulting in fewer blisters on [001] and [110] surfaces. 9 In this study, when D-induced bubbles under [001] and [110] surfaces were 10 subjected to transient heat loads of plasma pulses simultaneously, the gas inside 11 the bubbles will expand due to the high temperature, so the gas pressure inside 12 the bubbles can also deform the surface layer of [001] and [110] surfaces. 13 Therefore, when ΔT increased to 500 K, the blister coverage on [001], [110] and 14 [111] surfaces were almost the same. This means the orientation dependence of 15 D-induced blisters was weakened by the transient heat loads.

16 **4.2 The effect of transient heat loads on surface modification**

17 The surface modification induced by the transient heat loads of pulsed plasma 18 was summarized in Fig.9 at different BT and ΔT . No matter at BT = 650 K or 19 800 K, the lowest ΔT when surface modification formed on the surface was 20 about 550 K - 600 K. In previous study, it was found that the condition when 21 surface modification occurs is closely related to the heat flux density of the electron beam – only when the heat flux density was above 200 MW/m^{2 [4, 5]}, 22 surface modification could be observed on the surface. In present study, ΔT is 23 24 directly related to the transient heat loads of the pulsed plasma, as shown by the results of THEODOR in Fig.1 (b). When $\Delta T = 550$ K, the corresponding heat 25 flux density is about 230 MW/m^2 , which is similar to the heat flux threshold of 26 27 surface modification obtained in previous study.

In previous study, it was found that transient heat loads will induce modifications on W surface, because the high heat flux will cause strong stress in the near surface region, and the stress will be relaxed by plastic deformation of near surface region, inducing deformation traces on the surface ^[27]. In Fig.3

1 and Fig.7, no matter BT = 650 K or 800 K, surface modification only occurred 2 on [001] and [110] surfaces, but not on [111] surfaces. This result is consistent with the results reported in reference ^[30]. The stress induced by the transient heat 3 4 loads was mainly compression stress parallel to the surface. The stress will be 5 relaxed by the slipping of dislocation along the slipping directions ([111] directions in W^[31]) in the near surface region. When [111] direction is 6 perpendicular to the surface ([111] surface), the compression stress parallel to 7 8 the surface cannot force the dislocations to slip along [111] directions, so no 9 slipping traces will be observed on [111] surfaces. Therefore, the surface 10 modification induced by pulsed plasma is not observed on [111] surfaces.

11 **4.3 The effect of transient heat loads on cracks**

12 In this study, only when BT = 650 K and $\Delta T \ge 500$ K, obvious cracks will be 13 observed on the surface, as shown in Fig.10 (a), and the cracks mainly occurred 14 along the grain boundaries. When BT = 650 K and $\Delta T < 500$ K, or BT = 800 K, 15 such cracks will not be observed on the surface. The transient heat loads will 16 lead to the expansion of surface material, resulting in the plastic deformation of 17 the surface. After the plasma pulses, the surface will be subjected to huge tensile 18 stress parallel to the surface due to the shrinking of the surface, resulting in 19 cracks along the grain boundaries of W^[5]. The cracks formation is closely 20 related BT and heat flux density. Compared to other metals, the ductile-brittle 21 transition temperature (DBTT) of W is high (about 400 K -700 K^[32]), and below 22 DBTT, W materials exhibit brittle features. When the BT was below DBTT, the 23 remaining stress caused by transient heat loads will induce violent deformation 24 and brittle cracks. On contrast, when the BT was above DBTT, the stress can be 25 relaxed by plastic deformation. Also, when the transient heat loads is not high 26 enough, the stress will not induce huge deformation of the surface, so cracks 27 will not form either. Therefore, only when BT = 650 K and $\Delta T \ge 500$ K, the transient heat loads of pulsed plasma will cause obvious cracks on the surface. 28

29 **5. Conclusion**

In this study, the surface damage of W exposed to D plasma and ELMs transient
heat loads was investigated by stationary and pulsed D plasma. It was found that

the transient heat loads may have promotion and inhibition effect on the formation of blisters. The low-flux transient heat loads will promote the blister formation due to the gas expansion inside the blisters. On the contrary, high-flux transient heat loads will mitigate the formation of blisters. Therefore, on W surface exposed to steady-state and pulsed D plasma, blistering first increased and then decreased, with the increasing transient heat loads.

7 The promotion effect of transient heat loads on blisters formation is more 8 obvious on [001] surface and [110] surface than on [111] surfaces, so the 9 orientation dependence of blisters was weakened by the transient heat loads. 10 This mainly because transient heat loads will lead to the expansion of the 11 bubbles beneath the surface and deform the surface layers of all surfaces, so 12 more blisters will be formed on [001] surface and [110] surface exposed to 13 stationary/pulsed plasma, compared to exposed to stationary plasma alone.

Surface modification induced by transient heat loads only occurred when transient heat flux was high enough, and it was only observed on [001] and [110] surfaces, but not observed on [111] surfaces. The surface modification is the slipping traces of dislocations in near surface region due to the stress induced by the transient heat loads. The orientation dependence of surface modification was mainly due to the orientation dependence of dislocation slipping in W.

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- 1 Table.1 Conditions of stationary D plasma.
- 2 Fig.1 Surface temperature of samples exposed to stationary/pulsed plasma. (a)
- 3 Temperature evolution during a shot; (b) temperature and heat flux distribution
- 4 over the surface.
- 5 Fig.2 Surface morphology of exposure surfaces with different ΔT (scale bar is
- 6 the same). (a) 320 K, (b) 360 K, (c) 400 K, (d) 500 K, (e) 600 K, and (f) 700 K.
- 7 Fig.3 Effect of ΔT on the surface morphology of different surfaces (scale bar is
- 8 the same). (a)-(d) $\Delta T = 320$ K, 400 K, 500 K, and 700 K, surface morphology of
- 9 [001] surfaces; (e)-(h) $\Delta T = 320$ K, 400 K, 500 K, and 700 K, surface
- 10 morphology of [110] surfaces; (i)-(l) $\Delta T = 320$ K, 400 K, 500 K, and 700 K,
- 11 surface morphology of [111] surfaces.
- 12 Fig.4 Effect of ΔT on blistering of [001], [110] and [111] surfaces with BT =
- 13 650 K
- 14 Fig.5 Surface morphology and cross-section morphology of the tiny cracks on
- 15 the surface. (a), (c) Surface morphology; (b), (d) Cross-section morphology; (e)
- 16 Schematic diagram of micro cracks.
- 17 Fig.6 Surface morphology of exposure surfaces with different ΔT (scale bar is
- 18 the same). (a) 200 K, (b) 240 K, (c) 300 K, (d) 400 K, (e) 550 K, and (f) 700 K.
- 19 Fig.7 Effect of ΔT on the surface morphology of different surfaces (scale bar is
- 20 the same). (a)-(d) $\Delta T = 240$ K, 400 K, 550 K, and 700 K, surface morphology of
- 21 [001] surfaces; (e)-(h) $\Delta T = 240$ K, 400 K, 550 K, and 700 K, surface
- 22 morphology of [110] surfaces; (i)-(l) $\Delta T = 240$ K, 400 K, 550 K, and 700 K,
- 23 surface morphology of [111] surfaces.
- Fig.8 Effect of ΔT on blistering of [001], [110] and [111] surfaces with *BT*=800 K.
- 26 Fig.9 Effects of BT and ΔT on surface modification.
- 27 Fig.10 Cracking on W surface exposed to pulsed plasma. (a) BT = 650 K, $\Delta T =$
- 28 700 K; (b) BT = 800 K, $\Delta T = 700$ K.
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1	Tabl	le.1

_	Species	Flux density (m ⁻² s ⁻¹)	Fluence (m ⁻²)	Ion energy (eV)	Number of shots
-	D	~1×10 ²⁴	~1×10 ²⁶	~38	10
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
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(b) $\Delta T = 240 \text{ K}$	(c) $\Delta T=300 \text{ K}$
	the second second
and the second second	
A A Charles	
(e) $\Delta T = 550 \text{ K}$	(f) $\Delta T=700$ K
The second second	
A the second	hell all
the same share	
1 - BEF	Later and the second
	10 um
	 (c) ΔT=550 K







